1 2 3 4 5 6 7 8	JEFFREY B. COOPERSMITH (SBN 252819 Email: jcoopersmith@orrick.com WALTER F. BROWN (SBN 130248) Email: wbrown@orrick.com MELINDA HAAG (SBN 132612) Email: mhaag@orrick.com RANDALL S. LUSKEY (SBN 240915) Email: rluskey@orrick.com STEPHEN A. CAZARES (SBN 201864) Email: scazares@orrick.com ORRICK, HERRINGTON & SUTCLIFFE LI The Orrick Building 405 Howard Street San Francisco, CA 94105-2669 Telephone: (415) 773-5700 Facsimile: (415) 773-5759	
10 11	Attorneys for Defendant RAMESH "SUNNY" BALWANI	
12 13	UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA	
14 15	SAN JO	SE DIVISION
16 17 18	UNITED STATES OF AMERICA, Plaintiff, v.	Case No. 18-CR-258-EJD WAIVER OF PERSONAL APPEARANCE AT ARRAIGNMENT AND ENTRY OF "NOT GUILTY"
19 20	ELIZABETH HOLMES and RAMESH "SUNNY" BALWANI,	PLEA COURT'S ACCEPTANCE OF WAIVER
21	Defendants.	Hon. Edward J. Davila
22 23		
24		
25		
26		
27 28		
20		

1	Pursuant to Rule 10(b) of the Federal Rules of Criminal Procedure, I, Ramesh "Sunny"	
2	Balwani, acknowledge and agree to the following in the above case:	
3	1. I have received a copy of the Second Superseding Indictment [Doc. 449] in this	
4	case. My attorney has discussed the charges with me and after being so advised, I understand the	
5	nature and substance of those charges, the maximum penalties, and my Constitutional rights. I	
6	waive a formal reading of the Second Superseding Indictment in open court.	
7	2. I understand I have a right to personally appear with my attorney in open court	
8	before a judicial officer for arraignment on the second Superseding Indictment. By signing this	
9	Waiver, I give up my right to personally appear in open court for an arraignment. I further	
10	understand that absent the present Waiver, I must appear before this Court as directed.	
11	3. I am entering a "Not Guilty" plea with the filing of this Waiver. I understand that	
12	entry by the Court of my not guilty plea will conclude the arraignment in this case for all	
13	purposes.	
14		
15	<u>Signature on Last Page</u> Ramesh Balwani	
16	Defendant	
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		

Case 5:18-cr-00258-EJD Document 460 Filed 07/21/20 Page 3 of 4

1	I am the attorney of record for the above-named Defendant. I have reviewed the charges
2	against my client and agree and consent to the Waiver of Personal appearance at Arraignment and
3	entry of Not Guilty Plea.
4	1 Bl aun
5	Dated: July 21, 2020.
6	Jeffrey B. Coopersmith (CA Bar No. 252819) Attorney for Defendant Ramesh Balwani
7	
8	
9	
10	
11	
12	The Court accepts the Waiver of Defendant's Appearance at Arraignment and enters a
13	plea of "Not Guilty" for the Defendant Ramesh "Sunny" Balwani.
14	
15	Dated: July 21, 2020
16	Hon. Edward J. Davila United States District Judge
17	4124-8633-7830.2
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	WAIVED OF DED SON ALADDE AD ANCE ENTRY NOT

Pursuant to Rule 10(b) of the Federal Rules of Criminal Procedure, I, Ramesh "Sunny" 1 Balwani, acknowledge and agree to the following in the above case: 2 I have received a copy of the Second Superseding Indictment [Doc. 449] in this 3 case. My attorney has discussed the charges with me and after being so advised, I understand the 4 nature and substance of those charges, the maximum penalties, and my Constitutional rights. I 5 6 waive a formal reading of the Second Superseding Indictment in open court. 7 2. I understand I have a right to personally appear with my attorney in open court 8 before a judicial officer for arraignment on the second Superseding Indictment. By signing this 9 Waiver, I give up my right to personally appear in open court for an arraignment. I further 10 understand that absent the present Waiver, I must appear before this Court as directed. 11 3. I am entering a "Not Guilty" plea with the filing of this Waiver. I understand that 12 entry by the Court of my not guilty plea will conclude the arraignment in this case for all 13 purposes. Dated: July 21, 2020. 14 15 Ramesh Balwani 16 Defendant 17 18 19 20 21 22 23 24 25 26 27 28